#### Request for Proposal (RFP)

for

Engagement of Agency / Firm for
CONDUCTING SURVEY OF URBAN HOMELESS POPULATION IN 15 DAY-NULM TOWNS
OF MIZORAM

# THE MIZORAM STATE URBAN LIVELIHOODS MISSION (MzSULM) DIRECTORATE OF URBAN DEV. & POVERTY ALLEVIATION GOVERNMENT OF MIZORAM

Last date for submission of RFP 23.2.2018, 12:00 noon

BID NOTIFICATION - REQUEST FOR PROPOSAL

THE MIZORAM STATE URBAN LIVELIHOODS MISSION (MzSULM)
Directorate of UD&PA, Thakthing Tlang, Aizawl -796005

#### **DISCLAIMER**

The information contained in this RFP document are subsequently provided to Agency / Firm(s), whether verbally or in documentary or any other form by or on behalf of MzSULM or any of their employees or Consultants, is provided to Agency(s) on the terms and conditions set out in this document and such other terms and conditions subject to which such information is provided.

The recipient agrees that it will cause its Directors, Partners, Officers, Employees and representatives and any other parties who provide services to the recipient to use the RFP document for the purposes in the manner stated above.

MzSULM do not make any representation or warranty expressed or implied, as to the accuracy, authenticity, timeliness and/or completeness of the information contained in this RFP document. Each Agency / Firm should, therefore, conduct its own investigations and analysis and should check the accuracy, adequacy, correctness, reliability and completeness of the assumptions, assessments, statements and information contained in this RFP document.

The MzSULM also accept no liability of any nature whether resulting from negligence or otherwise howsoever caused arising from reliance of any Agency upon the statements contained in this RFP document. MzSULM may in their absolute discretion, but without being under any obligation to do so, update, amend or supplement the information, assessment or assumptions contained in this RFP document. The issue of this RFP document does not imply that MzSULM is bound to select a Agency / Firm and MzSULM reserves the right to reject all or any of the Agencies / Firms or RFPs without assigning any reasons.

#### **Definitions**

Authority	The Mizoram State Urban Livelihoods Mission	
	(MzSULM), Directorate of UD&PA, Govt of Mizoram	
	Refers to experienced Registered Proprietorship / Private Limited / NGO	
Agency / Firm	/ Registered Society who deliver required services.	
	Applicant, Agency, Firm and Service Provider are used	
	interchangeably throughout the document	
BID	Proposal submitted by Bidder for qualification in response to this document	
CMMU	City Mission Management unit	
SUH	Shelter for Urban Homeless	
Currency	Indian Rupees (INR)	
Date Format	DD/MM/YYYY	
Financial Year	$12$ -month period – commencing from $1^{\rm st}$ day of April of any year and ending on the $31^{\rm st}$ March of the following calendar year	
MSP	Refers to Master Service Provider – Successful Applicants / Bidders who have been awarded the project for end-to-end implementation of the project scope	
PDD	Proposal Due Date / Bid Closing Date	
Project Scope	The scope of following services in response to this document at the sites as designated by the Authority from time to time  1. Survey of Urban Homeless in 15DAY-NULM Towns  2. Resource Mapping  3. Reporting  4. Sharing	
QA / QC	Quality Assurance / Quality Control	
Tendering Agency	The Mizoram State Urban Livelihoods Mission (MzSULM),Directorate of UD&PA, Govt of Mizoram	
BPL	Below Poverty Line	
MD	Mission Director	
MzSULM	The Mizoram State Urban Livelihoods Mission	
GoI	Government of India	

LoA	Letter of Award
MoA	Memorandum of Agreement
PD	Project Director
PO	Project Officer
RFP	Request for Proposal
DAY- NULM	Deendayal Antyodaya Yojana- National Urban Livelihoods Mission
SHGs	Self Help Groups
СММ	City Mission Manager
SMM	State Mission Manager
CMMU	City Mission Management Unit
ALF	Area Level Federation
PBG	Performance Bank Guarantee
AMC	Aizawl Municipal Corporation

#### **Invitation for the proposal**

"Engagement of Agency / Firm for conducting Survey of Urban Homeless Population in 15 DAY-NULM Towns of Mizoram"

The Mizoram State Urban Livelihoods Mission (MzSULM), Directorate of UD&PA, Govt of Mizoram, intends to empanel an agency / firm with requisite experience and capabilities for conducting Survey of Urban Homeless Population in 15 DAY-NULM Towns in Mizoram.

Accordingly, MzSULM invites Request for Proposal (RFP) from interested Agencies / Firms for the same. Entities eligible to bid for the empanelment for the 'Survey of Urban Homeless Population' shall be any Registered Proprietorship / Private Limited / NGO / Registered Society satisfying the following minimum criteria.

#### **Important dates and information**

Sl. No.	Information	Details
1.	Request for Proposal (RFP) document made available to bidders from	: 16.2.2018
2.	Last date of receipt of queries	: 20.2.2018
3.	Pre bid meeting	21.2.2018 at 2:00 pm at Project Director's Office, 5th Floor, Directorate of UD&PA, Thakthing Tlang, Aizawl
4.	Address and email for submission of written queries for clarifications	The Mission Director MzSULM, Address: Directorate of UD&PA, Thakthing Tlang, Aizawl -796005 mizonulm@gmail.com
5.	Last date for receipt of proposals (Containing Cover 1 & 2)	: 23.2.2018 till 12:00 noon
6.	Date and time of Technical proposal opening	: 23.2.2018 at 3:00 pm
7.	Date and time of Technical presentation	: 26.2.2018 at 11:00 am
8.	Date and time of Financial proposal opening	: 27.2.2018 at 11:00 am
9.	Cost of Bid Document	: Rs. 1,000/-
10.	Earnest Money deposit value	Rs. 10,000/- EMD shall be submitted in the name of "The Mission Director, MZSULM" in the form of DD /Banker Cheque/ BG from Nationalized bank/ Schedule Bank having a Branch at Aizawl.

11.	Performance Bank Guarantee value		5 % of the Project Value
12.	Performance Bank Guarantee validity	:	90 days beyond Project period
13.	Period for submission of PBG	:	Within 7 days of receipt of letter of Notification of Award (NoA)
14.	Period for signing contract		Within 15 days from date of receipt of letter of Notification of Award.
15.	Project Period / Survey period		Within 1 Month from date of signing the contract.
16.	Address at which proposal in response to RFP notice is to be submitted	:	The Mission Director MzSULM, Address: Directorate of UD&PA Thakthing Tlang, Aizawl 796001, Mizoram

The RFP document providing the Terms of Reference (ToR), pre-qualification criteria, terms and conditions including evaluation criteria are available in the web site (udpamizoram.nic.in)

#### 1. INTRODUCTION

The Mizoram State Urban Livelihoods Mission (MzSULM) is established in to enable the urban poor particularly the poorest of the poor to eliminate poverty and vulnerability in a sustainable manner and improve their quality of life in urban areas. MzSULM in Mizoram is registered on **30<sup>th</sup> October 2014** to address Urban Poverty related issues in 8 District Headquarters Town and 7 Census Towns in Mizoram.

MzSULM is the State Level Nodal Agency for implementing following National level Programs: <u>Deendayal Antyodaya Yojana – National Urban Livelihoods Mission</u>

MzSULM as per the guidelines issued by Deenadayal Antyodaya Yojana – National Urban Livelihoods Mission under Ministry of Housing and Urban Affairs, Government of India is implementing "Shelter for Urban Homeless" component by providing shelter with all essential services to the Urban Homeless in 8 District Headquarters Town and 7 Census Towns in Mizoram.

To assess the actual number of homeless, number of shelters required for Homeless in each SUH implementation towns and for rehabilitation of homeless, it is proposed to conduct a survey of homeless in 8 District Headquarters Town and 7 Census Towns in Mizoram.

#### **Definition of Homeless Persons**

Persons who do not have a house, either self-owned or rented, but instead live and sleep on pavements, at parks, railway stations, bus stations and places of worship, outside shops and factories, at constructions sites, under bridges, in Hume pipes, on dividers, road junctions and all other similar places under the open sky or places unfit for human habitation. This also includes people who live in temporary structures without walls, under plastic sheets or thatched roofs on pavements, parks or other Common Places.

However, due to absence of pavement dwellers in Mizoram the Project Sanctioning Committee at the State level, by its authority granted by the Operational Guidelines of DAY-NULM, decided that the inmates of children's home who are orphan or abandoned, adult female or male in distress (due to different types of abuse) staying at any type of

Shelter unit for more than 6 months, physically and mentally challenged staying at shelters operated by Church or NGO's and aged who are residing at the shelters operated by Church or NGO's shall be the beneficiaries under SUH component of DAY-NULM.

#### **Guiding Principles/ guidelines**

**Deendayal Antyodaya Yojana - National Urban Livelihoods Mission (DAY-NULM)** aims at providing permanent shelter equipped with all essential services to the urban homeless in a phased manner under the Scheme of Shelter for Urban Homeless (SUH).

The National Urban Housing & Habitat Policy (NUHHP), 2007 aims at Promoting sustainable development of habitat in the country with a view to ensure equitable supply of land, shelter and services at affordable prices to all sections of the society. However, the most vulnerable of these are the urban homeless.

The Urban homeless persons contribute to the economy of the cities and thus the Nation as cheap labour in the informal sector; yet they live with no shelter or social security protection. The urban homeless survive with many challenges like no access to elementary public services such as health, education, food, water and sanitation.

#### 2. PROJECT AREA:

The present assignment covers 8 CMMUs spread across 8 districts of Mizoram.

The Project Area is grouped into 3 Regions.

Region - I corresponds to CMMUs of Aizawl

Region - II corresponds to CMMUs of Champhai, Lunglei, Kolasib, Serchhip & Mamit, and

Region - III corresponds to Lawngtlai & Siaha

Details of Regions can be found at Annexure - I.

Each selected Agency / Firm / NGO will be allotted 01 or more regions for conducting survey of homeless persons based on their eligibility and capacity.

#### 3. SCOPE OF WORK:

MzSULM as per the guidelines issued by Deenadayal Antyodaya Yojana – National Urban Livelihoods Mission under Ministry of Housing and Urban Poverty Alleviation, Government of India is implementing Shelter for Urban Homeless component by providing shelter with all essential services to the Urban Homeless in 8 City Mission Management Unit in Mizoram.

To assess the actual number of homeless, shelters required for Homeless in each CMMU and for rehabilitation of homeless it is proposed to conduct a survey of homeless in 15 DAY-NULM Towns of Mizoram.

In future, if Government of Mizoram notifies any new Census Towns in Mizoram for implementation of DAY-NULM, the agency / firm is required to carry out the survey in such Census Towns in their respective allotted regions. The rate for survey in such CMMU is to be indicated separately in Financial Proposal.

#### 3.1 Objectives of the Survey:

Survey would ensure four objectives, to

- i. Provide planners a detailed mapping of concentration areas of homeless populations in each city / town;
- ii. Establish the demographic profile of homeless populations in city / town;
- iii. Identify official resources such as land and vacant buildings that can be deployed to provide the required infrastructure for shelters in appropriate location in each city/town; and
- iv. Establish the location and type of shelters needed in each city/town by gauging the specific needs of the beneficiaries

#### 3.2 Survey of Homeless persons may be divided into three phases:

#### a. Planning phase

- i. Each Urban Local Body may be divided into smaller, more compact Zones / Ward clusters, in line within the administrative set-up of the CMMU.
- ii. Survey Teams may be deployed by selected NGO/Agency/Firm depending on size and population of the Zones / ward clusters.
- iii. Each team may comprise of around four persons for the field survey.
- iv. These persons may include NGO members or representatives preferably having experience in doing survey earlier.
- v. In addition, a team of two Data Entry Operators and Analysis Specialists should be made available by the selected NGO/Agency/Firm at their Headquarter Office for data entry, analysis and preparation of Survey report.
- vi. Standard questionnaire format will be developed for entire state and agency would follow same for collection of information
- vii. A Quality assessment team for correction of errors on data to be deployed and each filled form to be verified

A Plan for survey of homeless population in each Urban Local Body should be prepared in consultation with the City Level Executive Committee Chairman of the concerned CMMU, which includes the following details:

- i. Identification of areas with concentration of homeless based on earlier surveys or any other source of information
- ii. Division of CMMU into zones/ ward clusters in which data would be collected
- iii. Ensure availability of required survey accessories before conducting the survey
- iv. List of interview questions to be prepared for guiding the focus group discussions as per the guidelines
- v. Content and format of reports to be submitted to be finalized as per requirement time to time.
- vi. Timelines to be fixed within which survey would be completed
- vii. List of Staff of UD&PA who would be assisting in the qualitative as well as quantitative collection of data.

Prior to the survey, the teams should be trained and oriented on:

- i. Purpose of the survey;
- ii. The profiles and problems of the homeless;
- iii. Participatory research methods:
- iv. Data entry of homeless persons through computer;
- v. Expected outcomes;
- vi. Ethical responsibilities;

Orientation material can be provided a couple of days prior to starting the survey, as material to be ready before the training sessions. The training should include the surveyors, data entry operators, analysis team and UD&PA Staff.

#### b. Field Survey: Mapping and Need assessment

The field survey will commence only after the trained survey teams are in place.

The steps to be followed during the survey

- i. Identifying the areas with concentration of Shelter unit,
- ii. Profiling the shelter populations in these areas in terms of gender, age, education, occupation, marital status, number of children, history of illness etc. persons with disability should be categorized separately.
- iii. Broadly identifying the possible buildings and locations where new shelters can be built and duly display on the map.

Need assessment of the homeless persons should be done using Focus Group Discussion (FGD) method so as to elicit the best possible responses from them.

In FGD, questions should be asked based on an indicative list / format focusing on the following core areas;

- i What is roughly the total population of the homeless cluster including women and children?
- **ii** What is the predominant age and gender profile of the homeless population in the cluster?
- **What are the predominant occupations undertaken by this homeless cluster?**
- iv. Do they have any special needs and challenges, such as disability, leprosy, TB, high drug use etc?
- v. Have the majority of homeless residents been at this location for more than one year, or less?

- vi Do they have access to any shelter? If so, the experience of using such shelters by the homeless
- vii. If the government sets up a shelter for them, would they welcome it; oppose it; or be indifferent? Ask for reasons.
- Viii. If they were to have a shelter, what would they seek from it in terms of location; facilities; and management?
- Are there any resources available in the vicinity where these shelters could be built? The resource could be in the form of land or building.

This information should be recorded and collated by each team. To ensure faster survey results, data entry should be done in parallel with the survey along with photograph of each homeless person.

The following deliverables are expected out of this process at this stage:

- i. Map should indicate the locations of all the scattered as well as clusters of homeless people.
- ii. Collated survey results
- iii. Determination of shelter locations, types, number of shelters required in an area, plus available resources in terms of land and building.
- iv. Finalized resource mapping questionnaire for the next stage of resource mapping.

#### c. Resource Mapping

The next step is to conduct a resource mapping exercise. The resources that this phase of the survey seeks to identify in consultation with the concerned CMMU are:

- i. Existing shelters;
- ii. Unutilized or underutilized government buildings that can be possibly redeployed as shelters after suitable refurbishing; and
- iii. Vacant lands that are suitable potential sites for new shelter buildings.

At the end of this process, the following deliverables are expected:

- i. Field resource mapping survey of all the identified potential buildings and shelter locations
- ii. Determination of the feasibility of the identified buildings and locations for building/ upgrading shelters, along with government agencies
- iii. Collating results and submitting to concerned urban bodies at the city level
- iv. Discussions on recommendations to be put forth in the report

#### d. Reporting and Sharing

Once the results of locations are established, the next step is development and finalization of a comprehensive city plan for homeless shelters. This should include sites for homeless shelters and services, types of shelters, converging on homeless concentration areas and aspirations with available resources, buildings and land. This should be done in a participatory manner, with the involvement of line department and homeless collectives.

At the end of this stage, the following deliverables are expected:

- 1. Analysis and inferences including homeless concentration areas, profiles, needs and aspirations of homeless populations in each concentration area
- 2. Preparation of Report with the following minimum arenas:
  - i. Location of shelters
  - ii. Types of shelters (working single men; single women and their dependents; special needs shelters such as for drug users, those recovering from grave illnesses, the aged, disabled and infirm)
  - iii. Capacity of each shelter
  - iv. Facilities and Services to be provided at the shelters
  - v. Resource mapping of needs against existing resources
  - vi. Submission of the data set for the project.
  - vii. Analysis report on clustered homeless requirements and
  - viii. Suggestions for establishment of Shelters based on the need in area/zone & Municipality

#### 3.3. The Agency shall be responsible for:-

**Task-1:** Proper Plan for the Survey

**Task-2:** Develop necessary data analysis formats

**Task -3:** Provide required Manpower, Constitute survey teams and provide training.

Task -4: Field Survey, Mapping and Need assessment

**Task-5:** Resource Mapping

**Task-6:** Reporting and sharing

**Task-7:** Other Ancillary works of the Agency

#### 3.4 Deliverables by the Agency:

- i. Preparation & submission of **Operation plan report** within 7 days of issue of work order.
- ii. Weekly submission of reports on survey of homeless, CMMU wise progress, etc as per requirement of MzSULM authorities.
- iii. Any other Report required by the competent Authority.
- iv. Completion of survey before 3 months from date of signing of contract.

#### 4. INFORMATION TO THE AGENCY

The Bidders are invited to submit Technical and Financial proposals as per the given formats in separate sealed covers for required work.

The selection would be based on the **Quality-cum-Cost Based Selection (QCBS)** procedure, subject to the Technical Proposal is in substantive compliance with the RFP requirements.

The proposal will form part of the contract with the selected Agency/ NGO/ Firm. The Technical Proposal should be submitted along with a refundable EMD of Rs. 50,000/- in form of DD drawn in favour of "Mission Director, Mizoram State Urban Livelihoods Mission".

The selected bidder shall provide professional, objective, and impartial advice and at all times hold MzSULM's interest paramount.

The Technical and Financial proposals to be submitted by the Bidders should be firm and valid for a period of 30 days from the last date of submission of the proposal.

Bidders may request clarifications on the RFP document within 7 calendar days from the date of issue of this RFP. Any request for clarification must be sent in writing or by E-Mail to Mission Director, MzSULM at mizonulm@gmail.com.

At any time before the submission of Proposals, MzSULM may amend the RFP by issuing an addendum. The addendum shall be a part of the original RFP and shall be uploaded in the UD&PA website.

The proposal (**Technical and Financial**) shall contain no interlineations or overwriting, except as necessary to correct errors made by the bidders themselves. The person who signed the proposal must initial such corrections. Submission letters for Technical qualification, Technical and Financial proposals should respectively be in the prescribed formats.

An authorized representative of the bidders shall sign all pages of the Technical and Financial Proposals. The authorization shall be in the form of a written power of attorney accompanying the Proposal or in any other form demonstrating that the representative has been duly authorized to sign.

The Agency / NGO/ Firm will submit Manpower/ Professionals available with them along with the proposal.

#### **4.1 GENERAL CRITERIA** for the Agency/NGO/Institution/Firm:

Should have experience in Govt. sector like Municipal Corporation, Govt. Office, and Public sector Department, have given preference & should have adequate technical man power to survey Urban Homeless population.

- i. Should be registered Firm / Society under the Companies Act or Registration of Societies Act at least five years from date of issue of RFP.
- ii. Should have valid registrations such as Permanent Account Number (PAN) of the Income Tax Department, GSTIN Number; Registration No. of the Agency/Firm/Society.
- iii. Should have at least 3 years of experiences in urban issues, municipal functionary, Management of Homeless Shelters for Urban Homeless.

#### 4.2 ESSENTIAL CRITERIA for the Agency/ Institution/NGO/Firm

- a) An <u>Agency/NGO/Firm</u> must not have been blacklisted or placed under funding restriction by any Ministry or Department of the Government of India or CAPART or by a State Government (or its agency).
- b) The <u>Agency/NGO/Firm</u> must have successfully completed at least one urban survey projects in any Municipal or government sectors
- c) The Agency/NGO/Firm must not have any govt affiliation in any form neither the Head of the Agency/NGO, nor any employee of the Agency, should be either a Govt.
- d) /Semi Govt. employee or the employee of any Govt.-undertaking in any (casually/ contractually directly or indirectly) form.
- e) The Agency/NGO/Firm should not be directly / indirectly related to any employee of MZSULM & MAUD Department.
- f) The Agency/ NGO/ Firm must not have any political affiliation in any form: The head of the Agency/ NGO/ Firm and its employees must not be relative of any elected representatives such as MPs, MLAs, Councillors or CMMUs, elected representatives of Panchayat Raj Institutions.

- g) The <u>Agency/ NGO/ Firm</u> must submit only the audited financial statements/reports as the evidence of turnover. Please note that this proof of turnover must be part of the technical proposal and not the financial proposal.
- h) Resolution of Executive/ Governing committee meeting authorizing the person to sign tender document.

#### 4.3 Financial Criteria:

Min. annual average turnover of the Applicant shall be **INR 30.00 Lakhs** or more during the last 3 financial years i.e., 2014-15, 2015-16 and 2016-17. The bidders need to provide audited statements to support their claim.

#### Note:

- Bidder must submit a Proof of registration of the legal entity.
- Audited Balance Sheets of the last three financial years must be submitted in support, without which the bid may not be considered. The calculation sheet for average annual turnover shall be certified by a Chartered Accountant.
- Bidder must submit copy of work orders and its completion certificates of Assignments issued by the concerned authority earlier.

#### 5. PREPARATION OF TECHNICAL PROPOSAL:

The proposal document may be submitted with each page numbered and an index of pages identifying the information contained. The proposal prepared by the Agency/Firm shall be provided in the following Model Response

The technical proposal should explain the followings strictly in the same order:

- a) Cover letter in prescribed format (Form -1)
- b) Name & Introduction of the Agency (In case of consortium mention both the agencies with details of Lead partner)
- c) Bidder / Agency Profile (Form 2)
- d) Executive summary of the proposal (1 2 pages maximum)
- e) Profile and background of the Agency / Firm explaining its previous experience, expertise in general, previous achievements, human resource and other technical expertise that makes your Agency / Firm most competent to participate in the RFP process (2 pages maximum)

- f) The detail of the similar experience in other Government Projects (2 3 pages maximum)
- g) Financial Standing / Annual Turnover and last 3 years turnover details (Form 4)
- h) EMD/ Tender Fee Details
- i) Clear plan & methodology for taking up the survey (1 2 pages maximum)
- j) Details of the staff deploying for managing work
- k) Documents in support of the above may be furnished with page numbers indicate in the index. Please use separate sheets wherever necessary

#### 6. FINANCIAL PROPOSAL:

The financial proposals shall contain proposed pricing for 3 regions indicating price for each region. It is the responsibility of the Agency / Firm to find out the area of each town and probable time to take up survey, probability of homeless numbers find in each City Mission Management Unit, mobilizing man power as mentioned in this RFP in each CMMU and other required costing analysis completely before quoting the price. Details of CMMU in each region are mentioned in Annexure – I for your reference

- a) Covering letter in prescribed format
- b) Financial proposal should clearly mention pricing differently for each of below categories (Form 6)

			(Amount i	n Rupees)
Sl. No	Region Name	Amount (Excluding GST)	GST in Rs	Total Amount (including GST)
1.	<b>Region I</b> (Aizawl,Saitual ,Mamit)			
2.	Region II (Lunglei, Hnahthial, Tlabung, Serchhip, Thenzawl, Kolasib, Kawnpui, Vairengte, Champhai, Khawzawl)			
3.	<b>Region III</b> (Lawngtlai & Siaha)			
4.	Rate for survey of homeless in each newly constituting CMMUs in future			
	TOTAL			

- c) A proposal submitted with an adjustable price, quotation will be treated as non-responsive and will be rejected.
- d) The Proposal may however contain discounts, if any, offered by the Agency/Firm.

- e) All prices and other information like discount etc having bearing on the price shall be written both in figures and words in the offer form. If there is discrepancy between the price/ information quoted in words and figures, the price/ information in words would be treated as final.
- f) Price quotes shall include any necessary service to be provided by the selected proposer (even if such services are not expressly enumerated) in order to ensure a satisfactory fulfillment of the contract, as well as any other expenses incurred by the selected proposer, e.g. travel, equipment, accommodation, daily subsistence, telecommunication, postal charges, contingencies, etc.
- g) In no case may the selected proposer invoke a hiatus or an oversight in the description of the work components in the proposal documents or claim inadequate explanations for seeking any price increase or any release from contractual liabilities.

#### 7. BID EVALUATION METHODOLOGY

Evaluation of the Technical and financial proposals will be based on **Quality cum Cost Based Selection (QCBS)** mode.

#### 7.1 The technical proposal shall be evaluated in two phases.

- a) In the first phase the Technical Proposals shall be evaluated on the basis of minimum eligibility criteria as mentioned above.
- b) In the second phase the shortlisted agency/firms will be invited for presentation in MZSULM.

#### 7.2 Opening of Financial Proposal

a) The financial proposals of the top five bidders (after technical evaluation) shall be opened.

#### 7.3 Empanelment

- a) One firm/ agency may get maximum of 2 regions based on their eligibility and capacity who is L1. However maximum 3 bidders will be empanelled. In case the L1 does not take up the bid, L2 would be allotted the job.
- b) MzSULM reserves the right to ask L2, L3 in that order to match Ll rates in case MzSULM decides to allot the job to more than one bidder at a time. This will be at the total discretion of MzSULM depending on the requirement.
- c) L1 bidder or the successful bidder(s) shall sign a contract, primarily for fair trade

practices in all dealings with MzSULM, incorporating RFP terms, delivery mechanism and penalties for default. The incidental expenses of execution of agreement shall be borne by the successful bidder. The conditions stipulated in the contract shall be strictly adhered to and violation of any of these conditions will entail termination of the contract without prejudice to the rights of the MzSULM.

#### 8. NO COMMITMENT:

This RFP does not commit the MzSULM to award a contract or to pay any costs incurred in the preparations or submission of proposals, or costs incurred in making necessary studies for the preparation thereof or to procure or contract for services or supplies. The MzSULM reserves the right to reject any or all proposals received in response to this RFP and to negotiate with any of the bidders or other firms in any manner deemed to be in the best interest of the MzSULM.

The MzSULM reserves the right to negotiate and award only a portion of the requirements; to negotiate and award separate or multiple contracts for the elements covered by this RFP in any combination it may deem appropriate, at its sole discretion to add new considerations, information or requirements at any stage of the development, delivery, deployment process, including during negotiations with bidders; and reject proposal of any bidder that has previously failed to perform properly or in a timely manner contracts of a similar nature, or of a bidder that, in the opinion of the MzSULM, is not in a position or is not sufficiently qualified to perform the contract.

This RFP contains no contractual proposal of any kind; any proposal submitted will be regarded as a proposal by the bidder and not as an acceptance by the bidder of any proposal by the MzSULM. No contractual relationship will exist except pursuant to a written contract document signed by the authorized competent official of the MzSULM and by the successful bidder(s) chosen by the MzSULM.

#### 9. SIGNING OF AGREEMENT

The selected firms will have to sign a Contract Agreement on non-judicial stamp paper of adequate denomination with MzSULM within 7 days from Issuance of Letter of Award.

#### 10. DEFAULT OF SERVICE

Deviation and/ or Refusal and/ or non-Performance towards in any of the obligations described in the Scope of Services, MzSULM would reserve the right to forfeit the selected firm and would also have the right to terminate the agreement with the selected firm.

#### 11. VALIDITY OF BID

Proposal shall remain valid for 120 days from the last date of submission of Proposal. A Proposal valid for a shorter period shall be rejected as non-responsive.

#### 12. RIGHT TO REJECT ANY OR ALL PROPOSALS

Notwithstanding anything contained in this invitation document, MzSULM reserves the right to accept or reject any Proposal and to annul this selection Process and reject all proposals, at any time without any liability or any obligation for such acceptance rejection or annulment, and without assigning any reasons thereof.

MzSULM also, reserves the right to reject any Proposal if:

- a) at any time, a material misrepresentation is made or uncovered, or
- b) the firm does not submit sufficient information as being asked for

#### 13. LANGUAGE

The Proposal and all communications in relation to or concerning the Selection Process shall be in English language and strictly on the formats provided in this invitation document

#### 14. PENALTY

MzSULM shall deduct 10 % of the work order value at each stage for delay of submission of reports beyond 3 weeks

#### 15. LATE SUBMISSION

Proposal received after the deadline for submission prescribed by MzSULM will not be entertained and be rejected.

#### 16. MODIFICATIONS AND WITHDRAWAL OF PROPOSALS

No modifications to the Proposals shall be allowed once it is received by MzSULM.

#### 17. FRAUD AND CORRUPT PRACTICES

The Bidders and their respective officers, employees, agents and advisers shall observe the highest standard of ethics during the Bidding Process. Notwithstanding anything to the contrary contained herein, the MzSULM may reject a Bid without being liable in any manner whatsoever to the Bidder if it determines that the Bidder has, directly or indirectly or through an agent, engaged in corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice in the Bidding Process.

The following terms shall have the meaning hereinafter respectively assigned to them:

- a) "Corrupt practice" means the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence the actions of any person connected with the Bidding Process
- b) "fraudulent practice" means a misrepresentation or omission of facts or suppression of facts or disclosure of incomplete facts, in order to influence the Bidding Process;
- c) "Coercive practice" means impairing or harming or threatening to impair or harm, directly or indirectly, any person or property to influence any person's participation or action in the Bidding Process;
- d) "undesirable practice" means (i) establishing contact with any person connected with or employed or engaged by the MzSULM with the objective of canvassing, lobbying or in any manner influencing or attempting to influence the Bidding Process; and
- e) "restrictive practice" means forming a cartel or arriving at any understanding or arrangement among Bidders with the objective of restricting or manipulating a full and fair competition in the Bidding Process

#### 18. ACKNOWLEDGEMENT BY THE BIDDER

It shall be deemed that by submitting the Proposal, the bidder has:

- a) made a complete and careful examination of the RFP, general condition of contract, submission formalities and evaluation mechanism;
- b) received all relevant information requested from MzSULM;
- c) acknowledged and accepted the risk of inadequacy, error or mistake in the information
- d) provided in this invitation document or furnished by or on behalf of MzSULM;
- e) satisfied itself about all matters, things and information necessary and required for submitting the proposal and performance of all of its obligations there under;
- f) acknowledged that it does not have a conflict of interest with any other Agencies/ Firm; and
- g) Agreed to be bound by the undertaking provided by it under and in terms hereof.

#### **19. FORFEIT OF BID SECURITY:**

Bid security amount will be forfeited if the bidder withdraws his bid before bid validity period or withdraws from the empanelling process after submitting his willingness to work at the lowest rates (Frozen Rates) or fails to enter into agreement with the allotted CMMUs after empanelment.

The bid security amount will be returned to the empanelled consultant only after entering into agreement with all the allotted CMMUs. The bid security amount of the bidders who do not accept to work at the lowest rates (Frozen Rates) and who are not technically qualified will be returned after completion of empanelment process.

#### 20. ENQUIRIES & CLARIFICATIONS

Enquiries, if any, shall be addressed to:

MISSION DIRECTOR
MzSULM
Directorate of UD&PA, Thakthing tlang, Aizawl-796001

All clarifications that are received on or before the date mentioned above will be addressed by MzSULM in Pre-bid Meeting. MzSULM shall aggregate and consider all such clarifications, without specifying the source of clarifications. Only in case of clarifications and suggestions that are considered positively requiring modifications to RFP, response will be communicated to all the Bidders who are present for the pre-bid meeting and entered their contact details in the 'Attendance Register for Pre-Bid Meeting'. No communications or reply will be sent to the concerned or all Bidders in case of repetition of clarifications.

#### FORM-1

#### FORMAT OF THE COVERING LETTER

(The Covering Letter is to be submitted by the Bidder in Cover-1 of the Bid - Printed on their respective Letter Heads)

Date: Place:

To
The Mission Director, MZSULM,
Directorate of UD&PA
Government of Mizoram
Thakthing Tlang, Aizawl

Dear Sir,

#### Sub: Empanelment for "Survey of Urban Homeless population in Mizoram"

Please find enclosed one (1) Original + one (1) Copy of our Bid in respect of the Empanelment for implementing the "Survey of urban homeless population in Mizoram" in response to the Request for Proposal (RFP) Document issued by the Mizoram State Urban Livelihoods Mission (MzSULM), Directorate of Urban Development & Poverty Alleviation, Govt. of Mizoram, dated\_\_\_\_\_\_.

#### We hereby confirm that:

- a) The Bid is being submitted by \_\_\_\_\_\_\_(name of the Agency / Firm / NGO) who is the Bidder, in accordance with the conditions stipulated in the RFP.
- b) We have examined in detail and have understood the terms and conditions stipulated in the RFP Document issued by MzSULM and in any subsequent communication sent by MzSULM. We agree and undertake to abide by all these terms and conditions. Our Bid is consistent with all the requirements of submission as stated in the RFP or in any of the subsequent communications from MzSULM.
- c) The information submitted in our Bid is complete, is strictly as per the requirements as stipulated in the RFP, and is correct to the best of our knowledge

and understanding. We would be solely responsible for any errors or omissions in our Bid. We acknowledge that the MzSULM will be relying on the information provided in the Bid and the documents accompanying such Bid for empanelment of Bidders for the aforesaid programme, and we certify that all information provided in the Application and in Forms 1 to 6 is true and correct; nothing has been omitted which renders such information misleading; and all documents accompanying such Bid are true copies of their respective originals.

a) We acknowledge the right of the MzSULM to reject our Bid without assigning any reason or otherwise and hereby waive, to the fullest extent permitted by applicable law, our right to challenge the same on any account whatsoever.

b) We satisfy the legal requirements and meet all the eligibility criteria laid down in the RFP. We certify that we have not been blacklisted by any public authority for breach on our part

c) This bid is unconditional and we hereby undertake to abide by the terms and conditions of the RFP.

d) We have not directly or indirectly or through an agent engaged or indulged in any corrupt practice, fraudulent practice, coercive practice, undesirable practice or restrictive practice, as defined in Clause 17 of the RFP document,

In witness thereof, we submit this Bid under and in accordance with the terms of the RFP document

For and on behalf of:	(Company Seal) Signature	:
Name:	Name:	
Designation:	Designation:	

(Authorized Representative and Signatory)

## FORM-2 DÉTAILS OF THE AGENCY / FIRM / NGO

Agency / Firm / NGO Name	
Date of Ingention	
Date of Inception	
Type of legal entity (Proprietor, Partnership, Pvt Ltd, Society etc)	
Name of Registering Authority	
Registration Number	
Whether any Legal/Arbitration /proceeding is instituted against or the Agency has lodged any claim in connection with works carried out by us	
Corporate office Address	
Name of the top executive with designation:	
*Certifications:	
*Awards & Recognitions:	
Telephone Number(s)	

Designation:		
Name:		
For and on behalf of:	(Company Seal) Signature	:
PAN Number:		
Sales Tax Number:		
GSTIN No:		
E-mail Address		

#### FORM-3

#### **LEGAL CONSTITUTION & NUMBER OF YEARS OF EXISTENCE**

Lε	Legal Constitution of Agency / Firm / NGO: (Eligibility Criteria)		
(P	(Private Limited / Proprietorship/ Society etc.,)		
1.	Status / Constitution of the Firm:		
2.	Name of Registering Authority		
3.	Registration No.:		
4.	Date of Registration:		
5.	Place of Registration:		

For and on behalf of: (Company Seal)

Signature :

Name :

Designation :

#### (Authorized Representative and Signatory)

Note: Please provide copy of the registration certificate from the appropriate Registering Authority as given below:

Entity	Sub-standing Documents Required
Proprietorship Firm	<ul> <li>Certificate of the Proprietorship duly certified by a Chartered Accountant.</li> <li>Copy of trade license / sales tax registration / IT registration</li> </ul>
Provider ship Firm	<ul> <li>Registered Provider ship Deed / Certificate of the Provider ship duly certified by a Chartered Accountant</li> </ul>
Private Limited Company	Registration of Certificate and Memorandum & Articles of Association
Society/ Trust / Association	<ul> <li>Registration certificate &amp; Bylaws of Society / Trust / Association</li> </ul>

#### FORM-4

#### FINANCIAL STANDING - ANNUAL TURNOVER

immediately preceding 3 Financial Yea	ars	
Based on its books of accounts and other	published information authenticated by	it, this is
to certify that	(name of the Agency / Firm / NGO) had	, over the
last three Financial Years, an <b>Average</b> a	nnual Total Turnover of Rs	_Lakhs,
as per year-wise details noted below:		

Certificate from the Statutory Auditor regarding Annual Turnover of the Bidder in the

Financial year ending 31 <sup>st</sup> March	Total Turnover (in Rs. Lakhs)
2014-15	
2015-16	
2016-17	

#### Name of the audit firm/ Chartered Accountant:

#### Seal of the audit firm:

### (Signature, name and designation and registration Number of the Chartered accountant)

#### Date: Note:

Please provide certified copies of Audited Financial Statements of the firm for the immediately preceding three financial years. In the case of Printed annual reports certification is not required.

In the event the financial statements for the year 2016-17 is un audited, Provisional financial statements duly certified by CA may be submitted

**FORM -5** 

FINANCIAL PROPOSAL SUBMISSION LETTER

(The Covering Letter is to be submitted by the Bidder in Cover-2 of the Bid - Printed on

their respective Letter Heads)

[Location, Date]

To: [Name and address of employer]

Dear Sir,

We, the undersigned, offer to provide the Survey of Homeless in accordance with your

Request for Proposal dated [insert date] and our Technical Proposal. Our attached

financial Proposal is for the sum of [insert amount(s) in words and figures). This

amount is exclusive of GST and is subject to deduction of income tax at source. We

hereby confirm that the financial proposal is unconditional and we acknowledge that

any condition attached to financial proposal shall result in reject of our financial

proposal.

Our financial Proposal shall be binding upon us subject to the modifications resulting

from Contract negotiations, up to expiration of the validity period of the Proposal, i.e.

before the date indicated in

We understand you are not bound to accept any Proposal you receive.

For and on behalf of:

(Company Seal) Signature

Name:

**Designation:** 

(Authorized Representative and Signatory)

29

#### FORM -6

#### FINANCIAL PROPOSAL FORM

(To be placed in Cover-2 along with the covering letter of Financial proposal)

#### (Amount in Rupees)

il. Io	Region Name	Amount (Excluding GST)	GST III KS	Total Amount (including GST)
	Region I			
1.	(Aizawl,Saitual, Mamit)			
2.	Region II (Lunglei, Hnahthial, Tlabung, Serchhip, Thenzawl, Kolasib, Kawnpui, Vairengte, Champhai, Khawzawl)			
3.	<b>Region III</b> (Lawngtlai & Siaha)			
4	Rate for survey of homeless in each newly constituting CMMUs in future			
	TOTAL			

For and on behalf of:	(Company Seal) Signature	:	
Name	:		
Designation	:		
(Authorized Representative ar	nd Signatory)		

#### **ANNEXURE-I**

#### **REGION WISE LIST**

Region	Name of City/Towns	CMMU
Region I	Region I Aizawl, Saitual	
	Mamit	Mamit
	Lunglei, Tlabung & Hnahthial	Lunglei
Dogion II	Kolasib, Kawnpui & Vairengte	Kolasib
Region II	Serchhip & Thenzawl	Serchhip
	Champhai & Khawzawl	Champhai
Region III	Lawngtlai	Lawngtlai
	Siaha	Siaha

<sup>\*\*\*\*\*</sup> End of RFP document \*\*\*\*